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CONFIRMATION NO. 9080

SERIAL NUMBER	FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
09/465,607	12/17/1999	705	3696	98-HSX001-C1
RULE				
APPLICANTS TIMOTHY M. KEISER, LOS ANGELES, CA; MICHAEL R. BURNS, LOS ANGELES, CA;				
** CONTINUING DATA ***** This application is a CON of 09/184,571 11/02/1998 PAT 6,505,174 which is a CIP of 08/620,906 03/25/1996 PAT 5,950,176				
** FOREIGN APPLICATIONS *****				
** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** ** SMALL ENTITY ** 02/02/2000				
Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Verified and /CLEMENT B GRAHAM/ Acknowledged Examiner's Signature	<input type="checkbox"/> Met after Allowance cg Initials	STATE OR COUNTRY CA	SHEETS DRAWINGS 4	TOTAL CLAIMS 19 22
		INDEPENDENT CLAIMS 8 6		
ADDRESS DEAN P. ALDERUCCI CANTOR FITZGERALD, L.P. 110 EAST 59TH STREET (6TH FLOOR) NEW YORK, NY 10022 UNITED STATES				
TITLE COMPUTER-IMPLEMENTED SECURITIES TRADING SYSTEM WITH A VIRTUAL SPECIALIST FUNCTION				
FILING FEE RECEIVED 656	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	